Audit of Banks Training Course

Professional Development Training Course Outline

Category	ACCOUNTING & FINANCE	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

The banking sector operates in a highly regulated, technology-driven, and risk-sensitive environment where transparency, compliance, governance, and financial integrity are critical for institutional sustainability. Modern banking institutions face increasing pressure from regulators, shareholders, financial markets, and international stakeholders to strengthen internal controls, enhance fraud detection systems, improve risk governance frameworks, and ensure accurate financial reporting. As a result, Audit of Banks has become a strategic function that supports regulatory compliance, operational excellence, digital transformation, anti-money laundering compliance, cybersecurity assurance, and sustainable banking practices. Audit of Banks Training Course equips participants with practical auditing techniques, advanced banking audit methodologies, and internationally recognized banking supervision practices required for effective bank auditing in today's evolving financial landscape.

The course provides comprehensive knowledge on banking operations, risk-based auditing, Basel compliance frameworks, credit risk auditing, treasury audit procedures, core banking systems controls, forensic audit techniques, and regulatory reporting requirements. Participants will gain practical insights into internal audit best practices, external banking audit standards, financial crime prevention mechanisms, data analytics in auditing, and governance frameworks applicable to commercial banks, microfinance institutions, investment banks, and central banking systems. The course integrates global banking case studies, emerging financial technologies, digital banking controls, ESG auditing concepts, and modern banking compliance trends to strengthen professional competence and organizational performance in the financial services industry.

Programme Curriculum

Audit of Banks Training Course

Introduction

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Course Objectives

1. Understand modern banking audit principles, regulatory frameworks, and international banking compliance standards.
2. Develop risk-based auditing skills for commercial banks and financial institutions.
3. Strengthen internal control assessment capabilities within banking operations and digital banking environments.
4. Analyze banking risks including credit risk, liquidity risk, operational risk, and market risk.
5. Apply forensic auditing techniques for fraud detection and financial crime investigations.
6. Enhance auditing approaches for anti-money laundering and counter-terrorism financing compliance.
7. Understand Basel regulatory requirements and prudential banking supervision frameworks.
8. Improve auditing competencies for treasury operations and investment banking activities.
9. Evaluate cybersecurity risks and IT audit controls in core banking systems.
10. Strengthen governance, ethics, and corporate accountability within banking institutions.
11. Utilize data analytics and digital audit tools for banking audit efficiency.
12. Improve audit reporting, regulatory communication, and stakeholder engagement practices.
13. Develop practical solutions for emerging banking risks, fintech disruptions, and digital transformation challenges.

Organizational Benefits

- Strengthened regulatory compliance and reduced financial penalties.
- Improved fraud prevention and financial crime detection mechanisms.
- Enhanced governance, transparency, and accountability systems.
- Increased operational efficiency within banking processes.
- Better risk management and internal control effectiveness.
- Improved cybersecurity and digital banking resilience.
- Enhanced audit quality and reporting accuracy.

- Strengthened investor and stakeholder confidence.
- Improved compliance with Basel and international banking standards.
- Enhanced institutional sustainability and financial stability.

Target Audiences

- Internal Auditors
- External Auditors
- Bank Compliance Officers
- Risk Management Professionals
- Finance and Accounting Officers
- Banking Supervisors and Regulators
- Fraud Investigation Officers
- Senior Banking Executives

Course Duration: 5 days

Course Modules

Module 1: Fundamentals of Banking Operations and Auditing

- Overview of banking systems and financial institutions
- Banking products, services, and operational structures
- Principles of internal and external bank auditing
- Regulatory frameworks governing banking institutions
- Banking audit standards and professional ethics
- Global Case Study: Audit failures and governance lessons from 2008 Global Financial Crisis

Module 2: Risk-Based Auditing in Banks

- Concepts and frameworks of risk-based auditing
- Identification and assessment of banking risks
- Risk mapping and audit planning techniques
- Internal control evaluation methodologies
- Continuous monitoring and risk mitigation strategies
- Global Case Study: Risk management weaknesses in Silicon Valley Bank collapse

Module 3: Credit Risk Audit and Loan Portfolio Examination

- Credit risk management frameworks in banks
- Loan appraisal and approval process auditing
- Non-performing loan analysis techniques
- Loan documentation review and compliance checks

- Provisioning and impairment assessment procedures
- Global Case Study: Credit risk exposure in Lehman Brothers

Module 4: Treasury and Investment Banking Audit

- Treasury operations and liquidity management controls
- Foreign exchange and derivatives audit procedures
- Investment portfolio auditing techniques
- Market risk assessment and reporting controls
- Capital adequacy and Basel compliance auditing
- Global Case Study: Trading losses at Barings Bank

Module 5: Information Systems and Digital Banking Audit

- Core banking systems audit methodologies
- Cybersecurity risks in digital banking environments
- IT governance and information security controls
- Auditing mobile banking and online banking platforms
- Data analytics and automated audit tools
- Global Case Study: Cybersecurity breaches in global banking institutions

Module 6: Fraud Investigation and Forensic Audit in Banks

- Banking fraud schemes and detection mechanisms
- Forensic audit techniques and evidence collection
- Anti-money laundering compliance auditing
- Know Your Customer compliance reviews
- Financial crime investigation procedures
- Global Case Study: Fraud investigations involving Wells Fargo

Module 7: Regulatory Compliance and Corporate Governance

- Banking laws and prudential guidelines
- Governance structures within financial institutions
- Compliance audit procedures and reporting standards
- Ethics, accountability, and professional conduct
- Regulatory reporting and stakeholder communication
- Global Case Study: Governance reforms after LIBOR Scandal

Module 8: Audit Reporting, Emerging Risks, and Best Practices

- Preparation of effective banking audit reports
- Audit recommendations and corrective action tracking
- Emerging risks in fintech and digital banking
- ESG and sustainability auditing in banking institutions
- Best practices in modern banking audits
- Global Case Study: Digital transformation risks in global fintech banking systems

Training Methodology

- Interactive instructor-led training sessions
- Practical banking audit simulations and exercises
- Real-world banking case study analysis
- Group discussions and peer learning activities
- Risk assessment workshops and audit planning exercises
- Financial statement analysis and compliance reviews
- Fraud investigation practical demonstrations
- Digital banking audit tool applications
- Regulatory reporting and governance assessments
- Participant presentations and expert feedback session

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.com or call ***+254769199797***

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to FINESKILL TRAINING CENTER account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
07 Sep 2026	11 Sep 2026	Physical	$1,500
14 Sep 2026	18 Sep 2026	Physical	$1,500
21 Sep 2026	25 Sep 2026	Physical	$1,500
28 Sep 2026	02 Oct 2026	Physical	$1,500
05 Oct 2026	09 Oct 2026	Physical	$1,500
12 Oct 2026	16 Oct 2026	Physical	$1,500
19 Oct 2026	23 Oct 2026	Physical	$1,500
26 Oct 2026	30 Oct 2026	Physical	$1,500

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