Banking Policy Development Training Course

Professional Development Training Course Outline

Category	Banking Institute	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

The contemporary banking landscape is undergoing a structural paradigm shift driven by rapid technological mutation, escalating macroeconomic vulnerabilities, and a fundamental realignment of regulatory expectations. Banking Policy Development Training Course provides an exhaustive blueprint for designing, stress-testing, and deploying high-impact banking policies that do not merely check compliance boxes but serve as core drivers of enterprise resilience and institutional stability. Participants will master the art of translating intricate global mandates such as the finalized Basel III/IV frameworks, EU DORA, and emerging FinCEN risk-based AML modernizations into agile internal governance architectures.

Moving beyond static documentation, this training addresses the realities of banking where risk borders are continuously blurred by Agentic AI networks, decentralized tokenized assets, and climate-driven financial exposures. Through an advanced, hands-on architectural approach, modern policy architects will learn to build cross-functional, future-proof policy infrastructure capable of managing real-time data flows, complex third-party vendor ecosystems, and sudden market shocks. This course balances technical, prudential rigor with practical operational execution, ensuring that your organization's policy suite actively safeguards capital adequacy, optimizes risk-weighted assets, and cultivates a pervasive, digitally aligned culture of compliance across all tiers of management.

Programme Curriculum

Banking Policy Development Training Course

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5 days

Course Objectives

By the conclusion of this training program, participants will be able to:

1. Design, draft, and implement robust banking policies aligned with Basel III/IV endgame standards and local prudential mandates.
2. Establish rigorous policy frameworks for the safe deployment, monitoring, and auditing of Generative AI and autonomous digital co-workers within banking infrastructure.
3. Formulate comprehensive business continuity and disaster recovery policies that satisfy strict DORA (Digital Operational Resilience Act) and international regulatory guidelines.
4. Recalibrate Anti-Money Laundering and Counter-Terrorist Financing policies to transition from technical checklist adherence to FinCEN-aligned risk-based effectiveness.
5. Embed environmental, social, and governance factors into credit policies, standardizing disclosures in accordance with evolving EBA guidelines and global frameworks.
6. Develop clear policy guidelines governing the integration, risk-scoring, and legal treatment of tokenized deposits, stablecoins, and central bank digital currencies (CBDCs).
7. Design stress-testing and asset-liability management (ALM) policies that dynamically account for interest rate fluctuations and discount window borrowing limits.
8. Author ironclad vendor management policies targeting supply chain vulnerabilities, concentration risk, and external service provider dependencies.
9. Construct modern data policies that guarantee data quality, lineage tracking, and compliance with Open Finance frameworks.
10. Create internal policy deployment and training blueprints that measurably link compliance milestones to performance-based metrics.
11. Write real-time, behavior-based fraud prevention policies that shield vulnerable retail/commercial banking journeys without injecting operational friction.
12. Draft disciplined credit underwriting policies equipped with realistic CECL (Current Expected Credit Losses) allowance methodologies.
13. Establish clear governance for policy authoring, executive ownership, continuous version control, and multi-jurisdictional updates.

Target Audience

- Chief Risk Officers (CROs) and Risk Management Directors

- Head of Compliance, Legal Counsel, and Regulatory Affairs Officers
- Policy Architects and Corporate Governance Managers
- Chief Information Security Officers (CISOs) and IT Policy Consultants
- Chief Financial Officers (CFOs), Treasurers, and Asset-Liability Managers (ALMs)
- Internal Audit Directors and Senior Financial System Auditors
- Commercial Lending, Credit Administration, and Underwriting Directors
- Retail Banking Strategists, Digital Transformation Heads, and Product Managers

Course Modules

Module 1: The New Era of Banking Supervision & Policy Architecture

- Mapping the shift from checkbox compliance documentation to risk-focused supervisory resets.
- Aligning internal bank policies with the final implementation phases of **Basel III/IV Endgame**.
- Structuring multi-jurisdictional policy hierarchies
- Defining clear policy ownership, accountability paths, and executive escalation protocols.
- **Case Study:** *The 2023 Mid-Tier Bank Failures & The 2026 Supervisory Reset*

Module 2: Integrating Artificial Intelligence (AI) Governance & Tech Stack Policies

- Formulating mandatory governance policies for **Generative AI** and autonomous **Agentic AI** banking systems.
- Establishing identity verification, auditability trails, and clear ownership criteria for digital co-workers.
- Designing risk-mitigation policies for algorithmic bias, model drift, and automated credit decisioning.
- Policy frameworks for secure cloud integration, microservices architectures, and API banking environments.
- **Case Study:** *The Autonomous Credit Approver Oversight*

Module 3: Digital Operational Resilience, Cybersecurity, & DORA Compliance

- Translating **DORA (Digital Operational Resilience Act)** mandates into local, actionable business policies.
- Structuring layered cybersecurity defenses and defining accelerated timelines for data breach disclosures.
- Drafting third-party risk management policies to neutralize concentrated cloud vendor dependencies.
- Creating comprehensive operational testing protocols
- **Case Study:** *The Third-Party Core-Banking Cloud Outage*

Module 4: Risk-Based AML/CFT & Anti-Fraud Policy Modernization

- Recalibrating Bank Secrecy Act (BSA) and AML frameworks from technical compliance to **FinCEN risk-based effectiveness**.
- Embedding real-time, behavior-based risk scoring matrices within transaction monitoring policies.
- Designing adaptive policy frameworks to combat industrialized, fast-moving cross-border fraud networks.
- Balancing consumer friction with payment security in instant processing environments
- **Case Study:** *FinCEN Regulatory Enforcement on Neobank Cross-Border Rails.*

Module 5: ESG Integration, Sustainability, & Climate Risk Policies

- Embedding environmental, social, and governance (ESG) parameters into credit underwriting guidelines.
- Structuring policies to comply with early **EBA Guidelines on ESG risk management**.

- Designing climate-related financial risk metrics for long-term real estate and infrastructure portfolios.
- Policy blueprints for transparent, auditable Pillar 3 green financing disclosures.
- **Case Study:** *The Stranded Asset Exposure*

Module 6: Asset Tokenization, Stablecoins, & Digital Asset Policies

- Policy frameworks for handling, clearing, and risk-scoring **tokenized asset ecosystems**.
- Establishing legal and operational operational definitions for payment stablecoins vs. tokenized deposits.
- Designing risk mitigation strategies for interacting with Central Bank Digital Currencies (CBDCs) and DLT networks.
- Formulating prudential liquidity and capital buffer allocations specifically for digital asset exposure.
- **Case Study:** *The Eurosystem Appia Blueprint Integration*

Module 7: Capital Adequacy, Liquidity Management, & Underwriting Policies

- Designing asset-liability management (ALM) policies tailored to a fluctuating interest rate environment.
- Incorporating central bank discount window borrowing capabilities directly into internal liquidity metrics.
- Updating credit administration guidelines to embed **CECL (Current Expected Credit Losses)** frameworks.
- Writing agile risk-transfer and securitization policies to systematically optimize Risk-Weighted Assets (RWAs).
- **Case Study:** *The Interest Rate Repricing Shocks*

Module 8: Open Finance, Data Governance, & Policy Deployment Culture

- Building comprehensive data governance policies to satisfy **Open Finance** regulations.
- Establishing policy guidelines for data lineage tracking, data quality guarantees, and eliminated data silos.
- Linking compliance milestones to performance-based metrics
- Constructing continuous monitoring, annual review cycles, and modern version control tracking systems for all policies.
- **Case Study:** *The Open Finance Data Exposure Incident.*

Training Methodology

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
07 Sep 2026	11 Sep 2026	Online	$1,000
07 Sep 2026	11 Sep 2026	Physical	$1,500
14 Sep 2026	18 Sep 2026	Online	$1,000
14 Sep 2026	18 Sep 2026	Physical	$1,500
21 Sep 2026	25 Sep 2026	Online	$1,000
21 Sep 2026	25 Sep 2026	Physical	$1,500
28 Sep 2026	02 Oct 2026	Online	$1,000
28 Sep 2026	02 Oct 2026	Physical	$1,500

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