Cross-Border Regulatory Risk and Compliance Planning Training Course

Professional Development Training Course Outline

Category	Risk Management	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

The hyper-connected global economy demands that multinational enterprises navigate a complex, fragmented, and ever-changing landscape of Cross-Border Regulatory Risk. The convergence of rapid digital transformation and increasing geopolitical volatility has exponentially amplified exposure to financial crime, data leakage, and punitive regulatory action. Effective compliance is no longer a cost centre but a strategic imperative and a competitive differentiator. Organizations must shift from a reactive, jurisdiction-specific, check-the-box mentality to a proactive, principles-based compliance framework rooted in RegTech and Enterprise Risk Management (ERM). This requires specialized expertise in regulatory divergence, continuous monitoring, and the establishment of a robust, unified compliance culture across all global operations to ensure operational resilience.

Cross-Border Regulatory Risk and Compliance Planning Training Course is designed to equip senior compliance, legal, and risk professionals with the advanced strategies necessary to manage these interconnected global challenges. Participants will master the critical frameworks, leverage cutting-edge AI-driven compliance tools, and develop harmonized policies to mitigate exposure to severe penalties related to Anti-Money Laundering (AML), Sanctions Evasion, and Global Data Privacy breaches. We will focus specifically on translating complex global mandates such as the Financial Action Task Force (FATF) recommendations and the principles of the EU's General Data Protection Regulation (GDPR) into actionable, scalable, and auditable cross-jurisdictional compliance plans.

Programme Curriculum

Cross-Border Regulatory Risk and Compliance Planning Training Course

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Course Objectives

Upon completion of this course, participants will be able to:

1. Analyze the impact of Regulatory Divergence and Geopolitical Risk on your organization's global operating model.
2. Develop a unified, Principles-Based Compliance Framework for multi-jurisdictional operations.
3. Implement Enhanced Due Diligence (EDD) and Continuous Customer Due Diligence (CCD) strategies using eKYC.
4. Master the application of FATF's Travel Rule and its implications for Digital Assets and cross-border payments.
5. Formulate a Global Sanctions Screening and Export Control policy to prevent Sanctions Evasion.
6. Integrate AI and Machine Learning into Real-Time Transaction Monitoring systems to reduce false positives.
7. Design a robust Third-Party Risk Management (TPRM) program for international vendors and supply chains.
8. Assess and manage compliance with major Global Data Privacy mandates, including GDPR and new APAC regulations.
9. Implement Digital Operational Resilience Act (DORA) principles for financial sector technology risk.
10. Conduct an effective Compliance Risk Self-Assessment (CRSA) tailored for cross-border activities.
11. Establish the key metrics for a Compliance Culture dashboard and report to the Board on ESG Compliance risks.
12. Navigate the Enforcement Landscape and manage high-stakes communication with global regulators.
13. Leverage RegTech Solutions for automated Regulatory Mapping and Horizon Scanning.

Target Audience

1. Chief Compliance Officers (CCOs) and Directors of Compliance.

2. General Counsel and Senior Legal Advisors overseeing international law.
3. Heads of Risk Management and Enterprise Risk Officers.
4. AML/CFT (Counter-Financing of Terrorism) Officers and Managers.
5. Internal Audit and Financial Crime Investigators.
6. RegTech Implementation Specialists and IT Risk Managers.
7. Heads of International Business Development and Market Entry Strategists.
8. Senior Staff from Financial Services, FinTech, Banking, and Global Trade.

Course Modules

Module 1: The Cross-Border Regulatory Landscape and Risk Culture

- Understanding Regulatory Divergence.
- Enterprise Risk Management (ERM) Integration.
- The FATF and its role in setting global AML/CFT standards and its influence on local regulators.
- Compliance Culture.
- Case Study: The Danske Bank Scandal (2018).

Module 2: Anti-Money Laundering (AML) and Sanctions Compliance 4.0

- Global KYC/CDD Harmonization.
- Beneficial Ownership.
- Sanctions Evasion Tactics.
- Export Controls and Dual-Use Goods.
- Case Study: Binance and the $4.3 Billion Settlement.

Module 3: Leveraging Regulatory Technology (RegTech) and AI

- RegTech Ecosystem Mapping.
- AI for AML.
- Digital Identity & eKYC.
- Cloud Compliance and Data Residency.
- Case Study: Successful RegTech Adoption in Tier 1 Banks.

Module 4: Global Data Privacy and Cross-Border Data Transfer

- The Global Standard-Setter
- APAC and US Privacy Trends.
- Cross-Border Data Transfer Mechanisms.
- Data Breach Protocol.
- Case Study: Irish DPC Fines against Big Tech.

Module 5: Third-Party Risk Management (TPRM) and Supply Chain Integrity

- Third-Party Due Diligence.
- Anti-Bribery and Corruption.
- Contractual Compliance.
- Continuous Monitoring.
- Case Study: Siemens FCPA Settlement.

Module 6: Regulatory Change Management and Horizon Scanning

- The Regulatory Lifecycle.
- Reg-Mapping.
- Impact Assessment
- Stakeholder Engagement.
- Case Study: Brexit and Regulatory Fragmentation.

Module 7: Reporting, Governance, and Board Oversight

- Compliance Governance Structure.
- Key Risk Indicators (KRIs) and Key Performance Indicators.
- Internal Investigations.
- Whistleblowing Programs.
- Case Study: Wells Fargo and Compliance Failure.

Module 8: Ethical Governance and Future Trends (ESG & Digital Assets)

- ESG Compliance.
- Greenwashing and Disclosure.
- Digital Asset Regulation.
- Cyber Resilience and DORA.
- Case Study: Emerging Taxonomy Regulations in the EU.

Training Methodology

This course employs a participatory and hands-on approach to ensure practical learning, including:

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.com or call +254769199797

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to FINESKILL TRAINING CENTER account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
07 Sep 2026	11 Sep 2026	Physical	$1,500
14 Sep 2026	18 Sep 2026	Physical	$1,500
21 Sep 2026	25 Sep 2026	Physical	$1,500
28 Sep 2026	02 Oct 2026	Physical	$1,500
05 Oct 2026	09 Oct 2026	Physical	$1,500
12 Oct 2026	16 Oct 2026	Physical	$1,500
19 Oct 2026	23 Oct 2026	Physical	$1,500
26 Oct 2026	30 Oct 2026	Physical	$1,500

Ready to enroll or request a customized program? Book online or contact us:

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