Ethics and Integrity in Banking Training Course

Professional Development Training Course Outline

Category	Banking Institute	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

In today’s rapidly evolving financial ecosystem, Ethics and Integrity in Banking have become critical pillars for sustainable growth, regulatory compliance, and stakeholder trust. With increasing scrutiny from regulators and heightened expectations from customers, banks must embed corporate governance, ethical leadership, compliance culture, anti-money laundering (AML), risk management, transparency, and accountability into every layer of their operations. Ethics and Integrity in Banking Training Course is designed to equip banking professionals with practical tools, frameworks, and global best practices to strengthen ethical decision-making and promote a culture of integrity.

As digital transformation accelerates and financial crimes grow more sophisticated, institutions must proactively address fraud prevention, whistleblowing mechanisms, ESG (Environmental, Social, Governance) compliance, data ethics, and conduct risk management. This training provides deep insights into ethical dilemmas, real-world case studies, and regulatory expectations, enabling participants to align business strategies with ethical banking standards, compliance frameworks, and sustainable finance principles.

Programme Curriculum

Ethics and Integrity in Banking Training Course

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Course Duration

5 days

Course Objectives

1. Understand ethical banking frameworks and governance models
2. Strengthen compliance culture and regulatory adherence strategies
3. Develop ethical decision-making and leadership skills
4. Identify and mitigate conduct risk and reputational risk
5. Enhance knowledge of AML, KYC, and financial crime prevention
6. Promote corporate transparency and accountability practices
7. Implement whistleblowing systems and fraud reporting mechanisms
8. Align banking operations with ESG and sustainable finance principles
9. Understand data ethics, privacy, and cybersecurity compliance
10. Analyze real-world ethical failures and lessons learned
11. Improve customer trust through ethical service delivery
12. Strengthen internal controls and audit integrity systems
13. Foster a high-performance ethical culture in financial institutions

Target Audience

1. Bank Executives and Senior Management
2. Compliance Officers and Risk Managers
3. Internal Auditors and Control Specialists
4. Relationship Managers and Customer Service Teams
5. AML/KYC and Financial Crime Professionals
6. Corporate Governance and Legal Teams
7. Digital Banking and FinTech Professionals
8. Regulators and Policy Makers

Course Modules

Module 1: Foundations of Ethics in Banking

- Principles of ethical banking and integrity frameworks
- Global standards and regulatory compliance requirements
- Role of corporate governance in banking ethics
- Ethical challenges in modern banking
- Case Study: Wells Fargo Account Fraud Scandal

Module 2: Corporate Governance and Ethical Leadership

- Board responsibilities and governance best practices
- Ethical leadership and tone at the top
- Organizational culture and integrity

- Stakeholder accountability
- Case Study: Barclays LIBOR Manipulation Case

Module 3: Compliance, AML, and Financial Crime Prevention

- Overview of AML, KYC, and CFT regulations
- Fraud detection and prevention strategies
- Sanctions compliance and risk monitoring
- Role of compliance officers
- Case Study: HSBC Money Laundering Case

Module 4: Conduct Risk and Ethical Decision-Making

- Understanding **conduct risk in banking**
- Ethical dilemma frameworks
- Behavioral risk and decision biases
- Customer fairness and transparency
- Case Study: **Mis-selling of Financial Products**

Module 5: Whistleblowing and Fraud Reporting Systems

- Designing **effective whistleblowing mechanisms**
- Protection of whistleblowers
- Internal reporting structures
- Investigation and resolution processes
- Case Study: **Danske Bank Whistleblower Case**

Module 6: ESG, Sustainability, and Ethical Finance

- ESG principles in banking
- Sustainable finance strategies
- Social responsibility and governance
- Ethical investment practices
- Case Study: **Greenwashing in Financial Institutions**

Module 7: Data Ethics and Digital Integrity

- Data privacy and **cybersecurity compliance**
- Ethical use of customer data
- AI and algorithmic bias in banking
- Digital fraud risks
- Case Study: **Facebook-Cambridge Analytica Data Scandal (Banking Impact)**

Module 8: Building an Ethical Banking Culture

- Embedding ethics into organizational DNA
- Training and awareness programs
- Performance metrics and ethical KPIs
- Continuous monitoring and improvement
- Case Study: **UBS Rogue Trader Incident**

Training Methodology

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
07 Sep 2026	11 Sep 2026	Online	$1,000
07 Sep 2026	11 Sep 2026	Physical	$1,500
14 Sep 2026	18 Sep 2026	Online	$1,000
14 Sep 2026	18 Sep 2026	Physical	$1,500

Start Date	End Date	Location	Price
21 Sep 2026	25 Sep 2026	Online	$1,000
21 Sep 2026	25 Sep 2026	Physical	$1,500
28 Sep 2026	02 Oct 2026	Online	$1,000
28 Sep 2026	02 Oct 2026	Physical	$1,500

Ready to enroll or request a customized program? Book online or contact us:

Register Online Now

Email: info@fineskilltrainingcenter.com | Website: www.fineskilltrainingcenter.com