

Mining Regulations & Compliance Training Course

Professional Development Training Course Outline

Category	General Training	Duration	5 Days
Base Fee	\$1,500 USD	Delivery Mode	Online / On-site Hybrid

Course Introduction

The Mining Regulations & Compliance Training Course is designed to equip professionals with in-depth knowledge of mining law, regulatory frameworks, environmental compliance, occupational health and safety (OHS), ESG standards, and risk governance. In an era of increasing global scrutiny on extractive industries, mining companies must comply with stringent regulatory requirements, sustainability mandates, and safety legislation. This course provides practical insights into mining compliance systems, statutory reporting, audit readiness, permit management, and legal obligations to ensure operational excellence and reduced regulatory risk.

With rising emphasis on ESG compliance, sustainable mining practices, digital compliance tracking, and zero-harm workplace strategies, organizations are required to align with both national mining acts and international standards. This training empowers participants to interpret and implement mining codes, environmental impact assessment (EIA) regulations, safety compliance frameworks, and inspection protocols. It blends theory with real-world mining case studies, enabling professionals to strengthen governance, avoid penalties, and build a culture of regulatory integrity, safety leadership, and sustainable mining operations.

Programme Curriculum

Mining Regulations & Compliance Training Course

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Course Duration

5 days

Course Objectives

1. Understand Mining Act compliance frameworks and legal obligations
2. Apply ESG (Environmental, Social, Governance) standards in mining operations
3. Implement Occupational Health and Safety (OHS) mining regulations
4. Manage environmental impact assessments (EIA) and permits
5. Strengthen regulatory risk management and mitigation strategies
6. Develop audit-ready mining compliance systems
7. Interpret mineral licensing and concession requirements
8. Ensure tailings management and waste disposal compliance
9. Apply sustainable mining and green mining technologies
10. Improve incident reporting and workplace safety compliance
11. Build regulatory reporting and documentation accuracy
12. Strengthen inspection readiness and enforcement response
13. Promote ethical mining governance and anti-corruption compliance

Target Audience

1. Mining Engineers
2. Compliance Officers in Mining Companies
3. Environmental Health & Safety (EHS) Managers
4. Mine Site Supervisors and Managers
5. Government Mining Inspectors and Regulators
6. Legal Advisors in Mining & Natural Resources
7. ESG and Sustainability Officers
8. Mining Consultants and Auditors

Course Modules

Module 1: Mining Legal Frameworks & Regulations

- Overview of national mining laws and global standards
- Licensing, permits, and concession systems
- Legal obligations for mining operators
- Regulatory authorities and enforcement structures
- Compliance documentation requirements
- **Case Study:** Mining license revocation due to non-compliance in a gold mining operation

Module 2: Occupational Health & Safety (OHS) in Mining

- Mine safety legislation and standards
- Hazard identification and risk control
- Personal protective equipment (PPE) compliance
- Accident investigation and reporting
- Emergency response planning
- **Case Study:** Fatality prevention improvement after safety system overhaul in underground mine

Module 3: Environmental Compliance & Sustainability

- Environmental Impact Assessments (EIA) process
- Air, water, and soil pollution control regulations
- Rehabilitation and land restoration requirements
- Waste and tailings management
- Climate change compliance in mining
- **Case Study:** Environmental fines due to tailings dam failure and remediation strategy

Module 4: ESG Integration in Mining Operations

- ESG reporting frameworks
- Sustainability metrics and KPIs
- Stakeholder engagement and community impact
- Ethical sourcing and responsible mining
- ESG audit preparation
- **Case Study:** ESG rating improvement after compliance restructuring in copper mining company

Module 5: Mining Risk Management & Compliance Audits

- Risk identification in mining operations
- Compliance audit planning and execution
- Internal controls and monitoring systems
- Regulatory breach prevention strategies
- Corrective and preventive actions (CAPA)
- **Case Study:** Audit failure leading to operational shutdown and recovery plan implementation

Module 6: Mineral Licensing & Regulatory Approvals

- Application processes for mining rights
- Renewal and transfer of mining licenses
- Government approval workflows
- Land access and indigenous rights considerations
- Documentation compliance requirements
- **Case Study:** Delay in project launch due to incomplete licensing documentation

Module 7: Incident Reporting & Regulatory Enforcement

- Incident classification and reporting protocols
- Regulatory notification requirements
- Investigation procedures and root cause analysis
- Enforcement actions and penalties
- Compliance escalation procedures

- **Case Study:** Regulatory penalties after delayed reporting of underground collapse

Module 8: Sustainable Mining Governance & Ethics

- Corporate governance in mining sector
- Anti-corruption and transparency compliance
- Community relations and social license to operate
- Ethical decision-making frameworks
- Long-term sustainability planning
- **Case Study:** Community opposition resolved through improved governance and CSR initiatives

Training Methodology

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call +254769199797

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

- a. The participant must be conversant with English.
- b. Upon completion of training the participant will be issued with an Authorized Training Certificate
- c. Course duration is flexible and the contents can be modified to fit any number of days.
- d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.
- e. One-year post-training support Consultation and Coaching provided after the course.
- f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
07 Sep 2026	11 Sep 2026	Online	\$1,000
07 Sep 2026	11 Sep 2026	Physical	\$1,500
14 Sep 2026	18 Sep 2026	Online	\$1,000
14 Sep 2026	18 Sep 2026	Physical	\$1,500
21 Sep 2026	25 Sep 2026	Online	\$1,000
21 Sep 2026	25 Sep 2026	Physical	\$1,500
28 Sep 2026	02 Oct 2026	Online	\$1,000
28 Sep 2026	02 Oct 2026	Physical	\$1,500

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