Regulation Impact Assessment for Risk Managers Training Course

Professional Development Training Course Outline

Category	Risk Management	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

The modern Risk Manager operates at the nexus of business strategy and an increasingly complex, volatile regulatory landscape. Effective Regulation Impact Assessment (RIA) is no longer a bureaucratic exercise but a critical decision-making tool for achieving sustainable compliance and strategic advantage. Regulation Impact Assessment for Risk Managers Training Course is designed to equip risk professionals with the advanced analytical frameworks and evidence-based methodologies to systematically evaluate the ex-ante and ex-post effects of new or proposed regulations. We focus on transforming regulatory challenges into opportunities for operational efficiency, enhanced governance, and value creation. A proficient understanding of RIA empowers risk managers to proactively inform policy dialogue, mitigate the risks of unforeseen regulatory costs, and ensure their organization's resilience in the face of geopolitical and digital transformation risks.

The training emphasizes a proportionality approach, ensuring that the depth of the RIA analysis aligns with the potential magnitude of impact financial, operational, reputational, and environmental on the business and its stakeholders. Participants will master essential techniques like Cost-Benefit Analysis (CBA), Multi-Criteria Analysis (MCA), and the identification of non-market impacts, moving beyond basic compliance to champion a culture of Better Regulation and responsible innovation. By integrating RIA into the existing Enterprise Risk Management (ERM) framework, this course provides a powerful mechanism for forecasting regulatory change, optimizing resource allocation, and maintaining a competitive edge in a rapidly evolving global market.

Programme Curriculum

Regulation Impact Assessment for Risk Managers Training Course

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Course Duration

5 days

Course Objectives

1. Master the RIA Framework and its role in Better Regulation principles.
2. Develop proficiency in Cost-Benefit Analysis (CBA) and Cost-Effectiveness Analysis (CEA) for regulatory initiatives.
3. Identify and quantify regulatory compliance costs and administrative burdens.
4. Conduct comprehensive stakeholder consultations to gather robust, diverse data for RIA.
5. Analyze non-market impacts, including ESG (Environmental, Social, Governance) and climate risk factors.
6. Integrate RIA insights into the organization's Enterprise Risk Management (ERM) and strategic planning.
7. Design effective monitoring and evaluation mechanisms for post-implementation review.
8. Utilize Scenario Analysis and Stress Testing to assess regulatory volatility.
9. Evaluate the distributional effects of regulations on specific stakeholder groups
10. Apply the principle of proportionality to scope the depth and breadth of a regulatory impact study.
11. Generate a robust Intervention Logic and Theory of Change for policy proposals.
12. Present high-quality, evidence-based RIA reports to senior decision-makers and regulatory bodies.
13. Leverage RegTech and RiskTech innovations to automate and enhance RIA processes.

Target Audience

1. Chief Risk Officers (CROs) and Senior Risk Executives
2. Compliance Officers and Regulatory Affairs Specialists
3. Financial Analysts and Economists involved in regulatory reporting
4. Internal Auditors focused on governance and regulatory adherence
5. Strategy and Policy Managers responsible for market entry or product development
6. Heads of Legal and Government Relations
7. Professionals involved in ESG Risk and Sustainability Reporting
8. Government/Public Sector Officials responsible for drafting or reviewing regulation

Course Modules

Module 1: Foundational Principles of Regulatory Impact Assessment (RIA)

- Better Regulation and its international context
- Defining the Policy Problem, Objectives, and establishing the 'No-Action' Baseline.
- The RIA cycle.
- The concept of Proportionality in RIA scope and methodology.
- Differentiating between regulatory and non-regulatory options.
- Case Study: Post-Crisis Financial Regulation.

Module 2: The Economics of Impact Assessment

- Core mechanics of Cost-Benefit Analysis.
- Identifying, measuring, and valuing direct and indirect costs and benefits.
- Calculating Administrative Burdens using the Standard Cost Model.
- The challenge of valuing non-market impacts
- Introduction to Multi-Criteria Analysis for complex, non-monetizable impacts.
- Case Study: Implementing Carbon Pricing/ETS.

Module 3: Analytical Methods and Data Collection

- Designing effective Stakeholder Consultation and managing diverse feedback.
- Techniques for Data Collection and utilization of public and proprietary data sources.
- Advanced use of Economic Modeling and Econometric Analysis for forecasting impacts.
- Applying Scenario Analysis and Sensitivity Testing to address uncertainty.
- Assessing the Distributional Effects of a regulation.
- Case Study: Data Privacy Regulation.

Module 4: RIA Integration into Enterprise Risk Management (ERM)

- Mapping regulatory change risk into the existing ERM Framework.
- Using RIA as a tool for Strategic Risk Foresight and Policy Advocacy.
- Developing a Regulatory Compliance Risk Register based on RIA findings.
- Integrating RIA with Operational Risk and Reputational Risk assessments.
- Establishing governance for continuous monitoring and adaptive compliance.
- Case Study: New Fintech/AI Regulation.

Module 5: Emerging and Cross-Cutting Impacts (ESG & Climate)

- Assessing the impact of ESG Disclosure and Sustainable Finance regulations.
- Analyzing Climate Risk impact assessments on financial stability.
- Evaluating the Social Impact of regulations
- Incorporating Geopolitical Risk and cross-jurisdictional regulatory alignment.
- Utilizing RIA for internal corporate policy decisions related to sustainability.
- Case Study: Mandatory Climate Risk Reporting.

Module 6: Communication, Quality Control, and Evaluation

- Structuring and writing a persuasive, high-quality RIA Report for decision-makers.
- Strategies for effective communication of complex quantitative and qualitative findings.
- The role of Regulatory Scrutiny Boards and quality assurance mechanisms.

- Designing an effective Monitoring and Evaluation plan for regulatory outcomes.
- Conducting Ex-Post Evaluation and regulatory "fitness checks."
- Case Study: Ex-Post Review of Basel II.

Module 7: Legal, Governance, and Technology Considerations

- Legal and statutory requirements for conducting RIA in key jurisdictions.
- Establishing the necessary Internal Governance and team structure for RIA.
- Exploring RegTech solutions for data aggregation, compliance mapping, and risk reporting.
- Integration of AI/Machine Learning in predictive regulatory impact.
- Best practices for documenting evidence, assumptions, and counterfactuals.
- Case Study: RegTech Implementation.

Module 8: Practical RIA Workshop & Simulation

- Structured group exercise.
- Practical application of CBA and SCM to an assigned regulatory case.
- Developing and presenting a draft RIA to a simulated decision-making panel.
- Peer review and feedback on RIA methodology and report clarity.
- Integrating learned skills into the organization's current work plan.
- Case Study: Simulated New Tax Law.

Training Methodology

This course employs a participatory and hands-on approach to ensure practical learning, including:

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.com or call +254769199797

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to FINESKILL TRAINING CENTER account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
07 Sep 2026	11 Sep 2026	Physical	$1,500
14 Sep 2026	18 Sep 2026	Physical	$1,500
21 Sep 2026	25 Sep 2026	Physical	$1,500
28 Sep 2026	02 Oct 2026	Physical	$1,500
05 Oct 2026	09 Oct 2026	Physical	$1,500
12 Oct 2026	16 Oct 2026	Physical	$1,500
19 Oct 2026	23 Oct 2026	Physical	$1,500
26 Oct 2026	30 Oct 2026	Physical	$1,500

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