Treasury Compliance for Banks Training Course

Professional Development Training Course Outline

Category	Banking Institute	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

In today's highly regulated financial ecosystem, Treasury Compliance has become a mission-critical function for banks navigating complex global frameworks such as Basel III/IV, IFRS 9, AML/CFT regulations, liquidity risk management, and capital adequacy standards. With increasing scrutiny from regulators and the rise of real-time monitoring, RegTech, and digital audit trails, treasury teams must ensure strict adherence to evolving compliance mandates while optimizing profitability and liquidity. Treasury Compliance for Banks Training Course delivers deep insights into treasury governance, compliance risk frameworks, regulatory reporting, and financial controls, enabling institutions to maintain resilience and regulatory alignment.

The training emphasizes data-driven compliance, automation, risk mitigation, and regulatory intelligence, equipping participants with practical tools to manage market risk, liquidity compliance, interest rate risk, and counterparty exposure. Through real-world case studies and hands-on simulations, participants will learn how to implement best-in-class treasury compliance frameworks, leverage AI-powered compliance tools, and respond effectively to regulatory audits and stress testing requirements, ensuring sustainable and compliant treasury operations.

Programme Curriculum

Treasury Compliance for Banks Training Course

Introduction

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Course Duration

5 days

Course Objectives

1. Master Treasury Compliance Frameworks and Regulatory Governance
2. Understand Basel III/IV Liquidity and Capital Compliance Standards
3. Implement Liquidity Coverage Ratio (LCR) and Net Stable Funding Ratio (NSFR)
4. Strengthen AML/CFT Compliance within Treasury Operations
5. Enhance Regulatory Reporting and Financial Disclosure Accuracy
6. Apply Risk-Based Compliance Monitoring and Internal Controls
7. Leverage RegTech Solutions for Real-Time Compliance Automation
8. Manage Interest Rate Risk in the Banking Book (IRRBB) Compliance
9. Ensure Counterparty Risk and Exposure Compliance Management
10. Conduct Treasury Stress Testing and Scenario Analysis
11. Improve Audit Readiness and Regulatory Inspection Handling
12. Integrate Data Governance and Compliance Analytics
13. Develop Enterprise-Wide Compliance Culture and Policies

Target Audience

1. Treasury Managers and Dealers
2. Risk and Compliance Officers
3. Finance and ALM Professionals
4. Internal and External Auditors
5. Regulatory Reporting Specialists
6. Banking Operations Managers
7. Senior Management and Executives
8. FinTech and RegTech Professionals

Training Modules

Module 1: Treasury Compliance Fundamentals

- Overview of treasury compliance frameworks
- Key global regulations
- Treasury governance and control structures
- Compliance risk identification
- Case Study: Compliance failure in liquidity reporting

Module 2: Regulatory Frameworks and Standards

- Basel III liquidity and capital rules
- LCR and NSFR implementation
- Regulatory expectations and supervision
- Cross-border compliance challenges
- Case Study: Bank penalties due to regulatory breaches

Module 3: Liquidity and Capital Compliance

- Liquidity risk management frameworks
- Capital adequacy compliance
- Stress testing for liquidity risk
- Funding and cash flow monitoring
- Case Study: Liquidity crisis management in banks

Module 4: Risk Management in Treasury Compliance

- Market risk and interest rate risk compliance
- Counterparty risk controls
- Risk monitoring tools and dashboards
- Integration with enterprise risk management
- Case Study: Interest rate volatility impact

Module 5: AML/CFT in Treasury Operations

- AML compliance within treasury transactions
- Sanctions screening and monitoring
- Fraud detection mechanisms
- Regulatory reporting requirements
- Case Study: AML compliance breach in treasury

Module 6: Regulatory Reporting and Audit Compliance

- Financial reporting standards (IFRS, GAAP)
- Data accuracy and reconciliation
- Audit trails and documentation
- Regulatory inspections and audits
- Case Study: Audit findings and remediation

Module 7: Technology and RegTech in Compliance

- Automation in treasury compliance
- AI and machine learning for monitoring
- Real-time compliance dashboards
- Data analytics and reporting tools
- Case Study: RegTech implementation success

Module 8: Compliance Strategy and Governance

- Building compliance culture in banks
- Policy development and enforcement
- Compliance risk mitigation strategies
- Governance frameworks and oversight

- Case Study: Enterprise compliance transformation

Training Methodology

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
07 Sep 2026	11 Sep 2026	Online	$1,000
07 Sep 2026	11 Sep 2026	Physical	$1,500
14 Sep 2026	18 Sep 2026	Online	$1,000

Start Date	End Date	Location	Price
14 Sep 2026	18 Sep 2026	Physical	$1,500
21 Sep 2026	25 Sep 2026	Online	$1,000
21 Sep 2026	25 Sep 2026	Physical	$1,500
28 Sep 2026	02 Oct 2026	Online	$1,000
28 Sep 2026	02 Oct 2026	Physical	$1,500

Ready to enroll or request a customized program? Book online or contact us:

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